

# $n$ - $m$ -Variant Systems: Adversarial-Resistant Software Rejuvenation for Cloud-Based Web Applications

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## ABSTRACT

Web servers are a popular target for adversaries as they are publicly accessible and often vulnerable to compromise. Compromises can go unnoticed for months, if not years, and recovery often involves a complete system rebuild. In this paper, we propose  $n$ - $m$ -Variant Systems, an adversarial-resistant software rejuvenation framework for cloud-based web applications. We improve the state-of-the-art by introducing a variable  $m$  that provides a knob for administrators to tune an environment to balance resource usage, performance overhead, and security guarantees. Using  $m$ , security guarantees can be tuned for seconds, minutes, days, or complete resistance. We design and implement an  $n$ - $m$ -Variant System prototype to protect a Mediawiki PHP application serving dynamic content from an external SQL persistent storage. Our performance evaluation shows a throughput reduction of 65% for 108 seconds of resistance and 83% for 12 days of resistance to sophisticated adversaries, given appropriate resource allocation. Furthermore, we use theoretical analysis and simulation to characterize the impact of system parameters on resilience to adversaries. Through these efforts, our work demonstrates how properties of cloud-based servers can enhance the integrity of Web servers.

## CCS CONCEPTS

• Security and privacy → Virtualization and security; • Computer systems organization → Availability; Redundancy.

## KEYWORDS

$n$ -Variant Systems; Intrusion Resilience; Cloud Security

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## 1 INTRODUCTION

Web servers consist of large code bases that are difficult to verify and frequently contain exploitable vulnerabilities. Compromised servers can go unnoticed for months, if not years [21]. During this time, adversaries may maliciously modify persistent storage to persist through reboots (e.g., rootkit) or host malicious content (e.g., watering hole attacks). Once a compromised server is discovered, a time consuming process is performed to identify what files were affected by the adversary and restore the server to a good state.

Periodically refreshing a server to a known good state can time bound compromise. This process is commonly known as *software rejuvenation* [26]. In fact, cloud environments are particularly amenable to software rejuvenation, because they frequently boot from read-only images and use external persistent storage. However, traditional software rejuvenation cannot tolerate an adversarial threat model: if a compromised server maliciously modifies persistent storage, then subsequent reads from persistent storage may automatically re-compromise instances after refresh. Thus, a key challenge is to ensure that persistent storage is not maliciously modified.

Software Diversity is a promising approach to prevent malicious changes to persistent storage in a software rejuvenation setting. Byzantine Fault Tolerance (BFT) [3, 14, 27],  $n$ -Variant Systems [18], and Multi-Variant Execution Environments (MVEEs) [12, 33, 39] run multiple, functionally identical, but internally diverse, instances of software independently to detect abnormal behavior, such as faults or compromise. By comparing writes to storage from each instance, software diversity can detect and prevent malicious behavior then trigger software rejuvenation to reactively recover affected instances. Meanwhile, periodic refreshing [35] can proactively recover undetected compromised instances. However, these existing approaches have several limitations when applied to a concurrent Web server environment. When  $n$ -Variant Systems and MVEEs detect a difference between the instances, the entire system goes offline until refreshing is complete. Similarly, BFTs require that no more than  $f$  servers are malicious at any given moment, and so if  $f$  servers are offline for refreshing, no security guarantees can be made and new requests must wait for recovery to complete. Furthermore, no existing system based on BFT,  $n$ -Variant System, or MVEE can defend against a powerful adversary that discretely compromises servers, one-by-one, until sufficient instances are acquired to modify persistent storage without detection.

In this paper, we propose  $n$ - $m$ -Variant Systems for enhancing the resilience of cloud-based servers.  $n$ - $m$ -Variant Systems extend

BFT and MVEE-based software rejuvenation systems by introducing the variable  $m$ , which is a pool of active replicas for each of the  $n$  diverse variants. The introduction of  $m$  provides several key properties. First,  $m$  increases availability by allowing processing to continue while some replicas are offline for refreshing. Second,  $m$  minimizes the need to create many diverse variants, while increasing availability. Third,  $m$  simplifies the diverse computing architecture when addressing highly concurrent workloads. Finally,  $m$ , combined with our configurable refreshing algorithm, provides a knob that allows an administrator to balance resource usage, performance overhead, and security guarantees. This knob can tune security for seconds, minutes, days, or complete resistance.

We built a prototype of our  $n$ - $m$ -Variant Systems design for web servers in a cloud environment. To demonstrate feasibility, we instrumented two web server stacks with a host agent: Apache on Linux and IIS on Microsoft Windows. Further, we evaluated our system using the Linux prototype hosting Mediawiki, a popular wiki application. Using Apache JMeter [1] and varying resource configurations, we show our prototype incurs a throughput reduction of 65% for 108 “seconds of resistance” and 83% throughput reduction for 12 “days of resistance” when refreshing half of the servers used in every HTTP request. Finally, we illustrate how an administrator can tune parameters to balance risk tolerance with performance overhead and resource cost (e.g., the above calculations show the overhead assuming 10% of traffic is malicious).

We make the following contributions in this paper.

- *We enhance defense techniques that combine software rejuvenation and software diversity by increasing their availability.*  $n$ - $m$ -Variant Systems introduces the variable  $m$  and can increase the availability of BFT and MVEE systems while performing recovery actions to defend against a powerful adversary.
- *We provide a theoretical security analysis of  $n$ - $m$ -Variant Systems.* The  $m$  configuration provides a knob that increases the availability of servers while accommodating for greater security configurations. By using the balanced allocation problem and matching simulations, we model the security impact of  $n$ ,  $m$ , and the refreshing strategy.
- *We demonstrate the feasibility of  $n$ - $m$ -Variant Systems through empirical analysis.* Our prototype for a Mediawiki application with a remote SQL database has a 65% reduction in throughput and a 83% increase in latency for a 2-25-Variant environment and a 83% reduction in throughput and a 111% increase in latency for a 4-15-Variant environment.

The remainder of this paper proceeds as follows. Section 2 discusses background and related work. Section 3 overviews the concept of  $n$ - $m$ -Variant Systems. Section 4 describes our design. Sections 5 and 6 evaluate the security and performance of our prototype. Section 7 discusses trade-offs and limitations. Section 8 concludes.

## 2 RELATED WORK

Refreshing hardware or software systems to mitigate flaws before their manifestation is termed *software rejuvenation* [26] in the fields of fault tolerance and reliability. Machida et al. [28] propose using software rejuvenation to enhance the availability of virtual machines and virtual machine monitors. Similarly, Rezaei et al. [30]

and Thein et al. [36] argue to periodically refresh systems in a virtual environment to remove inconsistent states.

In general, software rejuvenation alone cannot be used as a defense mechanism against powerful adversaries. For example, CRIUMR [43] uses software rejuvenation to quickly remove malware from a system, but since it is unable to determine which writes to storage are malicious, their conservative solution results in benign writes being reverted when the malware is removed. Therefore, software rejuvenation must be combined with other techniques to detect and prevent malicious actions and then reactively recover. Additionally, these techniques can use proactive recovery to refresh a compromised system before it is detected. Sousa et al. [35] enhances such proactive recovery techniques with an approach aiming to keep a minimal number of systems online to ensure the correct operation of a firewall. However, their work is tied to wall clock time and is not suitable for high request Web servers. Brandão et al. [5] provide an analysis of intrusion-tolerant systems built on software rejuvenation and state that intrusion is inevitable for systems that do not recover on each request. In this work, we report the same finding, but define a model that fits our architecture.

Software diversity can be used to detect and prevent malicious behaviors. Approaches such as MVEEs and Intrusion Tolerant Replication based on BFT detect abnormal behavior by comparing outputs (system calls, server responses, etc.) from diverse systems. If a divergence between outputs is detected, then these systems can prevent the action.  $n$ - $m$ -Variant Systems is based on  $n$ -Variant Systems [18] and similar MVEEs that use  $n$  diversity to process requests independently. This use of diverse systems was first proposed as  $n$ -Version Programming [6, 7, 15] to detect software faults.

Many MVEEs have been proposed: Chun et al. [16] propose an architecture using virtualization on a single physical host. Salamat et al. [34] defend against code injection attacks with a user-space architecture. GHUMVEE [41], ReMon [40], Orchestra [13], and Volckaert et al. [38] all create architectures for complex threaded-processes. VARAN [25] seeks to increase performance by avoiding costly system call lockstepping. DREME [11] defends against SQL injection attacks by using redundant database variants and diverse processes. HACQIT [29] uses server diversity (IIS and Apache) to mediate storage accesses from vulnerable web applications and introduces replay attack prevention using blacklists. Finally, Gao et al. [23] and STILO [44] use probabilistic anomaly detection over system calls to identify misbehaving variants. In addition, Intrusion Tolerant Replication techniques, specifically those based on BFT, are another way of employing diversity to prevent malicious behavior. Spire [10], Steward [4], and Base [31] all propose techniques to tolerate a compromised host until it is recovered. However, the main drawback of BFT-based approaches is the low number of compromised hosts BFT protocols can tolerate until an undetected adversary can compromise the entire system.

We do not aim to replace prior MVEE and BFT-based Intrusion Tolerant systems but rather to enhance their architectures to increase availability. In previous works, the entire system goes down when performing recovery actions, which is unacceptable for web servers. In  $n$ - $m$ -Variant Systems there are  $m$  replicas of each variant that can continue processing when a replica goes offline. Further, we prioritize securing dynamic web applications. Prior works have considered web servers hosting static files and SCADA systems, but

to the best of our knowledge we are the first to propose an architecture for a dynamic web server. There are two notable differences between dynamic servers and the servers targeted in prior works: (1) generating dynamic content requires more I/O operations, resulting in more overhead and (2) comparing database writes from replicas to detect malicious writes requires that any non-deterministic fields in those writes be reconciled. Finally, we note that creating diversity is not a contribution of this work. Weatherwax et al. [42] define guidelines for implementing  $n$ -Variant Systems that defend against particular attacks. Further, works that describe the creation of diverse replicas [12, 19, 24, 32] are complementary to this work and can be used by our architecture.

### 3 OVERVIEW

This work seeks to provide resiliency for cloud-based servers by periodically refreshing replicas from read-only images to automatically remove persistent threats (e.g., rootkits). A naïve application of software rejuvenation is not resilient to sophisticated adversaries that craft and commit exploits into external persistent storage, with the goal of automatically re-compromising refreshed replicas. This threat model presents the following research challenges.

- *Identifying malicious writes to external persistent storage.* Preventing malicious writes to persistent storage prevents refreshed replicas from being automatically re-compromised.
- *Providing a tunable model for balancing security and resource overhead.* Frequently refreshing replicas incurs resource overheads for service providers. Providers should be given guidance on how to provision resources to meet their risk model.
- *Providing a high availability environment.* Solutions should provide a highly available Web environment in the face of compromise and limit the impact on throughput and latency.

We address the first challenge by adopting the concept of  $n$ -Variant Systems [18] and extending it to a cloud environment. Consider a Web server that uses an external relational database. Typically, each HTTP request to the Web server will result in one or more SQL queries to the relational database. In our model, each HTTP request from a client is duplicated and sent to  $n$  different server variants. We then compare each of the resulting SQL queries to ensure that all  $n$  variants match, modulo non-deterministic fields such as timestamps. If any one SQL query differs from the query produced by the  $n - 1$  other variants, the query fails (i.e., preventing any writes) and the corresponding replicas for all  $n$  variants are refreshed from the good, read-only image.

We address (Section 6.4) the second challenge by combining our performance evaluation (Section 6.3) with the theoretical analysis (Section 5). Finally we address the third challenge by introducing a new variable,  $m$ , to the traditional  $n$ -Variant architecture described above. With this variable, a deployment can add additional servers which all concurrently handle requests. Further,  $m$  allows the environment to continue handling requests if any given server goes offline (e.g., is refreshed as described above). Effectively,  $m$  allows us to provide high availability and throughput that is not achievable in traditional  $n$ -Variant Systems.

Figure 1 depicts an  $n$ - $m$ -Variant System for a Web environment using an external relational database for persistent storage. HTTP requests from Web clients terminate at a Scheduling Proxy. The

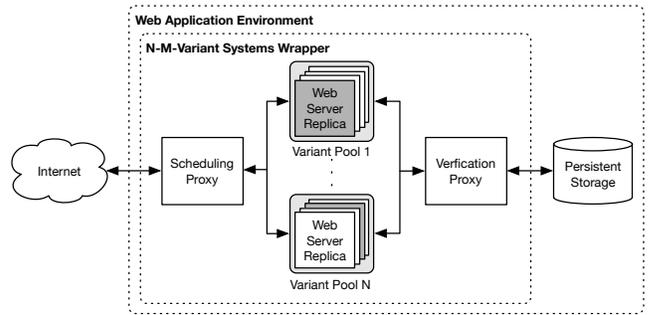


Figure 1:  $n$ - $m$ -Variant Systems for a Web application.

Scheduling Proxy selects a serving set of replicas, which is one of the  $m$  replicas from each of the  $n$  variant pools. The HTTP request is duplicated and processed by each serving set replica. All SQL queries from the replicas are captured by the Verification Proxy. If the SQL queries differ (modulo non-deterministic fields), a malicious write is detected, and all replicas in the serving set are immediately refreshed. However, if the SQL queries are the same, the Verification Proxy sends one SQL query to the persistent storage. The Verification Proxy then duplicates the SQL response to each replica. When the replicas finish generating the Web page, the HTTP responses are returned to the Scheduling Proxy, which returns one HTTP response to the Web client. Finally, replicas are periodically refreshed (Section 4.1.3) to mitigate an adversary who attempts to gradually compromise replicas in an effort to be assigned a serving set with an already compromised replica in each of the  $n$  variant pools.

**Threat Model and Assumptions:** The goal of the adversary is to compromise and *maintain* privileged access to a vulnerable cloud-based Web server. Once compromised, a replica may serve malicious content to clients or attempt malicious modifications to persistent storage. The goal of this work is to minimize the duration of a compromised server by preventing malicious changes to persistent storage. We permit a time-bound period where Web clients are served malicious pages. We also do not attempt to prevent exfiltration of data present at the time of compromise. The adversary is assumed to be external to the cloud environment and must craft unprivileged HTTP requests to compromise the server and perform arbitrary code execution on the Web server, including running code in kernel, but not the hypervisor. We assume a single malicious HTTP request cannot simultaneously compromise replicas of different variants. This means vulnerabilities that effect the same application on diversified hosts (i.e., SQL injection) cannot be stopped; however leveraging previous MVEE works we can defend Memory Corruption vulnerabilities or other vulnerabilities that lead to Remote Code Execution on the Web server. This work assumes only the base static Web server image is trusted and the running Web server instance can be compromised during the processing of any request. The trusted computing base includes the scheduling and verification proxies, the external persistent storage, the cloud infrastructure (i.e., management software, hypervisors, hardware, personnel), and Web application administrators.

## 4 DESIGN

In order to describe concrete design decisions for  $n$ - $m$ -Variant Systems, we describe our design with respect to a Web application environment using a relational database for external persistent storage. However, the high-level conceptual approach is more generic.

### 4.1 Scheduling Proxy

Our  $n$ - $m$ -Variant System-based Web application operates on the granularity of HTTP requests. The Scheduling Proxy,  $P_s$ , is the only interface between Web clients and the  $n$ - $m$ -Variant System. When  $P_s$  receives an HTTP request,  $R_h$ , it performs the following tasks: (a) it selects a serving set,  $\mathcal{S}(R_h)$ , for  $R_h$ , consisting of one server replica from each variant pool, i.e.,  $\{S_{1,j_1}, S_{2,j_2}, \dots, S_{n,j_n}\}$ ; (b) it duplicates  $R_h$ , sending a copy to each server replica  $S \in \mathcal{S}(R_h)$ ; and (c) it tags each duplicate of  $R_h$  with an unforgeable request identifier  $\mathcal{T}(R_h)$  that binds the IP addresses of the server replicas to the identifier. We begin with serving set selection.

**4.1.1 Serving Set Selection.** The serving set,  $\mathcal{S}(R_h)$ , consists of one server replica from each variant pool. We identify each server replica as  $S_{i,j}$ , where  $i$  is the variant pool index, and  $j$  is the index of the replica within variant pool  $i$ . Given an  $n$  and  $m$  configuration, it is possible to pre-compute all possible serving sets for faster selection. In general, an  $n$ - $m$ -Variant System has  $m^n$  unique serving sets.

Our threat model assumes that an adversary cannot simultaneously exploit all variants with a single request. Therefore, if each server replica,  $S_{i,j}$ , is refreshed after servicing a *single* request, then it is not possible for the adversary to incrementally compromise server replicas and opportunistically wait until a request is served by  $n$  compromised server replicas, one in each pool. Unfortunately, only using a server replica for a single request requires refreshing on average  $n$  server replicas per HTTP request, which is cost prohibitive in most scenarios. A larger  $m$  simply provides a larger buffer to handle bursts of requests.

Practical deployments must re-use a server replica,  $S_{i,j}$ , for multiple requests. Section 5 further explores the security implications of re-use. Our current implementation selects a serving set by randomly selecting one replica from each variant pool. If the selected replica index,  $S_{i,j}$ , is marked for refresh but not yet refreshed, our algorithm randomly selects another until an available replica is found. If a serving set cannot be selected, because at least one variant pool has no available replica (i.e., all are being refreshed), our system returns HTTP error code 503, Service Unavailable.

**4.1.2 Request Processing.** The Scheduling Proxy,  $P_s$ , intercepts the HTTP request,  $R_h$ , using a HTTP proxy. That is, the Web client's HTTP connection is terminated at  $P_s$ , and  $P_s$  initiates a new HTTP connection to each replica  $S \in \mathcal{S}(R_h)$ . HTTP proxies are commonly used for load balancing. However, unlike load balancing,  $P_s$  must duplicate the HTTP payload into multiple requests. When the replicas return the HTTP response, the HTTP proxy only returns one HTTP response to the client.  $P_s$  currently does not compare the HTTP responses from the replicas, as our threat model only considers the integrity of the external persistent storage and tolerates some period of malicious responses to Web clients.

$P_s$  tags each connection to  $S \in \mathcal{S}(R_h)$  with an unforgeable request identifier. This tag,  $\mathcal{T}(R_h)$ , is read by the server replica host agent and propagated to the Verification Proxy,  $P_v$ , as described in Section 4.2.  $P_v$  needs  $\mathcal{T}(R_h)$  to determine which SQL queries belong to  $R_h$ . The unforgeable property is needed to meet our threat model requirement of the server replica remaining untrusted. A compromised server may attempt two attacks: (1) Mimicry attacks where an adversary attempts to send requests to persistent storage with a falsified identifier, and (2) replay attacks where an adversary tries reusing an existing tag. The unforgeable identifier created at  $P_s$  prevents mimicry attacks and also makes replay attacks extremely unlikely, as discussed below and in Section 4.3.1.

Communication of the request identifier from  $P_s$  to each server replica is done by inserting  $\mathcal{T}(R_h)$  as an IP Option in the IP Header of the TCP SYN packet. Specifically, we use the timestamp option field, which supports up to 40 bytes, containing 36 bytes of usable storage when accounting for the two bytes used for the IP header format and two bytes for the timestamp option declaration. The tag,  $\mathcal{T}(R_h)$ , consists of a 32-bit (4-byte) request identifier and a 256-bit (32-byte) HMAC. The 32-bit request identifier,  $ID(R_h)$ , is a counter that increases with each HTTP request received by  $P_s$ . The HMAC computes a hash over  $ID(R_h)$  concatenated with the IP addresses of each  $S \in \mathcal{S}(R_h)$ . The symmetric key  $k$  is only shared by the  $P_s$  and  $P_v$ . Therefore,

$$\mathcal{T}(R_h) = [ID(R_h) | HMAC(k, [ID(R_h) | IP_1 | \dots | IP_n])]$$

for each  $IP_i$  corresponding to  $S_i \in \mathcal{S}(R_h)$ . This tag is a fixed size by design and the IP addresses in the serving set do not need to be explicitly listed in the tag as they can be derived at  $P_v$ .  $P_v$  verifies the tag by collecting the IP addresses of the server replicas declaring  $ID(R_h)$  and recomputing the hash using the shared key.

Note that the 32-bit request identifier will roll-over every four billion HTTP requests. While the adversary may attempt to exploit request identifier roll-over to replay a tag, the tag is only valid if the request is served to server replicas with the exact IP addresses as the original request. This can be further mitigated by implementing a validity window that rejects tags received with identifiers outside of the current valid range.

**4.1.3 Replica Refreshing.** The final responsibility of the scheduling proxy is coordinating the refreshing of replicas. Replica refreshing is caused by a) malicious activity detected by the verification proxy, and b) periodic refreshing of replicas. Since replicas can simultaneously serve multiple HTTP requests, the design must decide whether the decision to refresh triggers immediate or delayed termination of the replica VM. An immediate termination will cause collateral effects to other HTTP requests, impacting the comparison at the verification proxy. When the verification proxy detects malicious activity, our design uses immediate termination. However, for periodic refreshes, the replica is marked for refresh but not terminated until all current HTTP requests are processed. When marked for refresh, a replica will not be served new HTTP requests. A reasonable timeout (e.g., 10 seconds) can also prevent adversaries from holding onto replicas for a long period of time.

For periodic refreshing, our current design has the system administrator select  $k > 0$  replicas to be refreshed after each HTTP request is serviced by the system. Since  $k$  may be a fraction, the

remainder is always carried forward to the next HTTP request. Thus on each HTTP request, we add  $k$  to a rolling sum,  $K$ . If  $K < 1$ , no replicas are refreshed. However if  $K \geq 1$ ,  $\lfloor K \rfloor$  replicas are chosen across the entire set of  $nm$  replicas to be refreshed and the remainder is carried forward to the next request. Variant pools do not play a role in the selection of replicas to be refreshed. If the randomly selected replica is already marked for refresh or has not served any request since its last refresh, another replica is chosen.

This refreshing strategy maps well to the theoretically grounded security evaluation presented in Section 5. Further, by tying refresh rate to the request rate we can defend against large bursts of malicious requests between a set refresh interval; however this opens up a potential avenue for a denial-of-service attack. Section 7 addresses this issue in more detail.

## 4.2 Variant Pools

Each variant pool contains  $m$  server replicas.  $n$ - $m$ -Variant Systems assumes a single malicious HTTP request cannot simultaneously exploit multiple variants. We assume variants are created using existing techniques discussed in Section 2 (e.g., automated software diversification [24]) and do not detail the creation of variants. We chose virtual machines over containers due to their stronger isolation. We now describe the operation of the untrusted Host Agent.

**Untrusted Host Agent:** Each server replica runs an untrusted host agent that propagates the unforgeable request identifier,  $\mathcal{T}(R_h)$ , from the IP options field in the received HTTP request to all outbound connections created by the servicing process or thread. We place this logic within the server replica to simplify our prototype implementation. While virtual machine introspection from the trusted hypervisor is possible, significantly more effort is needed to correlate the inbound and outbound connections. Furthermore, the unforgeable tag eliminates the need to trust the host agent. If a malicious host agent does not include a verifiable tag, the Verification Proxy,  $P_v$ , will drop the request.

For each HTTP request,  $R_h$ , the host agent must: (1) extract  $\mathcal{T}(R_h)$  from the IP options in the IP header of the TCP SYN packet for the request, (2) identify the process or thread identifier,  $PID_a$ , processing  $R_h$ , (3) identify the process or thread identifier,  $PID_b$ , making the corresponding TCP connection for SQL queries, and (4) insert  $\mathcal{T}(R_h)$  in the IP options of the IP header of the TCP SYN packet of all outgoing connections from  $PID_b$ . Note that  $PID_a$  may equal  $PID_b$ , or  $PID_b$  may be a child (or descendant) of  $PID_a$ .

*Linux Host Agent:* Our Linux host agent is a user space Python program that uses the Netfilter kernel interface to read and insert request identifier tags in network connections. The host agent intercepts all outbound database traffic with the TCP SYN flag set. It then determines which PID owns the socket for the outbound request and traverses the PID's parents until it finds the PID of the process handling the inbound request. Using this information, the host agent can determine the appropriate request identifier for the outbound request and inserts it into the IP header.

*Windows Host Agent:* Our Windows host agent is a kernel mode driver, written in C, that uses the Windows Filtering Platform (WFP) to read and insert request identifier tags in network connections.

The host agent also relies on an IIS HTTP module, written in C#, and a DLL, written in C, injected into the IIS process to record which PHP process is spawned to handle each inbound request. Similar to the Linux host agent, the kernel driver, IIS module, and DLL allow the host agent to correlate inbound connections to outbound connections and propagate tags to outbound request IP headers.

## 4.3 Verification Proxy

The Verification Proxy,  $P_v$ , prevents malicious writes to the external persistent storage. To identify malicious writes,  $P_v$  uses unanimous voting for writes from all  $n$  variants. That is, if any variant diverges, the write is denied. Furthermore,  $P_v$  does not attempt to determine which variant is malicious; all server replicas  $S \in \mathcal{S}(R_h)$  are marked for refresh. This design is security conservative, as  $n - 1$  variants in the serving set may have been compromised.

To perform voting,  $P_v$  must be able to compare the write requests from the  $n$  variants in  $\mathcal{S}(R_h)$ . To simplify this comparison, we assume that each variant is running the same application, and produces nearly identical SQL queries to write to external persistent storage. While the SQL queries may not be identical (e.g., timestamp fields), it is reasonable to identify non-deterministic fields. Next we discuss the primary tasks of  $P_v$ : (1) determining which queries to compare and (2) performing the comparison.

*4.3.1 Packet Processing.* The Verification Proxy,  $P_v$ , uses a TCP proxy to intercept network connections destined for the external SQL server. When replica variant  $i$  makes an SQL request,  $R_s$ , to  $P_v$ , the TCP proxy inspects the IP options of the IP header of the TCP SYN packet. From here, it extracts the unforgeable request identifier tag,  $\mathcal{T}(R_h)$ . Recall that  $\mathcal{T}(R_h)$  contains the plaintext 32-bit request identifier,  $ID(R_h)$ , but not the list of IP addresses needed to compute the HMAC. Therefore,  $P_v$  maintains a queue for each  $ID(R_h)$ , storing the SQL query, source IP address, and  $\mathcal{T}(R_h)$  for each received  $R_s$ . When  $P_v$  receives an SQL request,  $R_s$ , from each of the  $n$  servers, it computes the HMAC and verifies that  $\mathcal{T}(R_h)$  was not forged on any received  $R_s$  associated with  $ID(R_h)$ . If the tags verify,  $P_v$  proceeds to verify the SQL query, as described in Section 4.3.2. If the SQL query verification also succeeds, a single SQL query is sent to the SQL server. The SQL response is duplicated and returned to each of the replicas  $S \in \mathcal{S}(R_h)$  in the queue.

If either the tag verification or the SQL query verification fails,  $P_v$  assumes that one or more of the server replicas is compromised. If this occurs, the SQL query is not sent to the SQL server. Further, all server replicas  $S \in \mathcal{S}(R_h)$  are marked for immediate refresh.

If  $P_v$  does not receive all  $n$  SQL queries within a predefined timeout period, the queue for  $ID(R_h)$  is deleted and the SQL query is not sent to the SQL server. However, in this case, the server replicas are not refreshed. Not receiving all  $n$  SQL queries before the timeout may result from slow processing or network connectivity. Marking all server replicas  $S \in \mathcal{S}(R_h)$  for refresh would further reduce available computation, causing a significant collateral effect for replicas simultaneously servicing multiple HTTP requests.

Note that OpenStack prevents guest machines from spoofing their IP address by default. Therefore, the adversary cannot spoof its IP address to fool the verification proxy. We assume other cloud environments have similar anti-spoofing defenses in place.

Finally, each HTTP request,  $R_h$ , may result in multiple SQL requests,  $R_{s1} \dots R_{si}$ , as the Web application code queries the database for various information. Our current implementation assumes that the SQL queries from each of the  $n$  variants are received in the same order, as was the case for the Mediawiki application used in our evaluation (Section 6). Theoretically, the order could be recovered by inspecting the queries themselves; however, the query order may or may not have a logical impact on the Web application. Therefore, we leave out-of-order query processing as a topic for future work.

**4.3.2 Query Matching.** The Verification Proxy,  $P_v$ , prevents malicious writes to the external SQL server by comparing SQL queries from each of the  $n$  variants. Once the request identifier tag is validated (Section 4.3.1), the SQL query string is extracted from the request,  $R_s$ . A naïve approach to query matching is simple string comparison. In practice, SQL queries contain non-deterministic fields, such as timestamps, which are generated by the server replica. Even with time synchronization, it is unlikely that all  $n$  server replicas will generate the same timestamp.

To account for non-deterministic fields,  $P_v$  extracts an abstract syntax tree (AST) from the query. Our implementation uses a PostgreSQL parsing engine [22], which limits our prototype to Web applications compatible with PostgreSQL; however, it is feasible to integrate our system with other databases. Once the AST is extracted for a given SQL query,  $P_v$  recursively traverses the tree looking for known non-deterministic fields. When a non-deterministic field is found,  $P_v$  replaces the value with a constant value. Once the traversal is complete,  $P_v$  collapses the modified SQL query back into a string and uses string comparisons to perform the final match.

This algorithm requires non-deterministic fields to be known before deployment. Our prototype uses a policy configuration file to define the non-deterministic fields for each table in the database schema. Currently, we leave this policy definition as a manual process, requiring an administrator or developer to identify the non-deterministic fields. Fortunately, defining this policy is a one-time effort per Web application. For our evaluation we created our list of non-deterministic fields by allowing queries with fields that did not match to proceed and then writing these fields to a log. We then manually analyzed the log file to define the policy.

Our threat model only considers the integrity of the external persistent storage. Therefore, our current implementation only analyzes queries that modify data (i.e., INSERT, UPDATE, and DELETE). Since SELECT statements do not modify data,  $P_v$  simply queues the  $n$  SELECT statements to ensure the correct number of queries is received. No AST processing or string comparisons are performed for SELECT statements. One exception is when an UPDATE or INSERT statement includes a SELECT statement as a subquery. In this case,  $P_v$  performs traversal and string comparison.

A limitation to this approach occurs when two servers insert different values (e.g., a timestamp) into the database but only a single value is truly stored. If the individual servers then perform a select on this value and compare the retrieved value to the original, at least one server will fail to pass this check. Fortunately, this case of extreme defensive programming was not encountered during testing with Mediawiki (Section 6), and we leave addressing this issue for future work if there is a need to support this scenario.

## 5 SECURITY EVALUATION

An adversary is successful when one of its HTTP requests is served by a serving set containing already compromised replicas, since then all of the corresponding SQL requests are controlled by the adversary. In this section, we analyze the probability that an adversary meets this condition given a configuration of  $n$  variants, each with  $m$  replicas. We evaluate this probability in two ways. First, by modeling  $n$ - $m$ -Variant Systems using the well known *balanced allocations* problem, we argue that the fraction of compromised replicas can never get very large. Second, we evaluate concrete configurations using simulations.

A  $n$ - $m$ -Variant System randomly refreshes on average  $k$  server replicas per HTTP request (Section 4.1.3). These replicas are selected from the entire  $nm$  replicas and not biased towards a specific variant pool. Our security evaluation is based on *adversarial* HTTP requests that compromise replicas. Therefore, we indirectly define  $k$  using a variable  $b$ , which defines the number of replicas that are randomly refreshed between *adversarial* requests. The number  $b$  of replica refreshes per *adversarial* request might not be immediately evident to the defender. This value can be estimated from the maximum fraction  $\alpha$  of service requests that can be adversarial and the number  $k$  of server replica refreshes performed per HTTP request, i.e.,  $b = \frac{k}{\alpha}$ .  $\alpha$  can, in turn, be estimated from the expected overall HTTP request rate and the expected adversarial HTTP request rate.

Of course, in practice there may be many benign HTTP requests that occur between the adversary’s HTTP requests that compromise a server replica. Furthermore, the adversary may require many HTTP requests to compromise a server replica, e.g., due to memory defenses such as ASLR. Such defenses are further enhanced by the random serving set selection created by  $n$ - $m$ -Variant Systems. However, for simplicity, our discussion assumes each adversarial HTTP request compromises a single server replica.

Finally, our evaluation ignores the possibility that the adversary can compromise both one uncompromised replica and, having a serving set of entirely corrupted replicas, then corrupt the database, all in a *single* HTTP request. Allowing for this possibility does not change our analysis qualitatively but complicates our discussion. As such, both our theoretical analysis and our simulation results below assume that with a single adversary request, the adversary can either corrupt one replica in its serving set or, if that request is served by a serving set with all corrupt replicas, can compromise the database. It cannot do both with one request, however.

### 5.1 Theoretical Analysis

The adversary’s goal is to be assigned a serving set only containing already-compromised replicas. Assume it has compromised  $c_i$  replicas of variant  $i$  and  $c = \sum_{i=1}^n c_i$  replicas in total. The probability of selecting a compromised server in variant  $i$  is  $\frac{c_i}{m}$ . Therefore, the probability of selecting a compromised server in all variants is

$$\mathbb{P}(\text{Success}) = \prod_{i=1}^n \frac{c_i}{m} \leq \left(\frac{c/n}{m}\right)^n$$

where the rightmost inequality follows because under the constraint  $c = \sum_{i=1}^n c_i$ , the product  $\prod_{i=1}^n c_i$  is maximized when each  $c_i = c/n$ .

Suppose that between serving adversary requests,  $b \geq 1$  replicas are chosen uniformly at random from the  $nm$  replicas and replaced

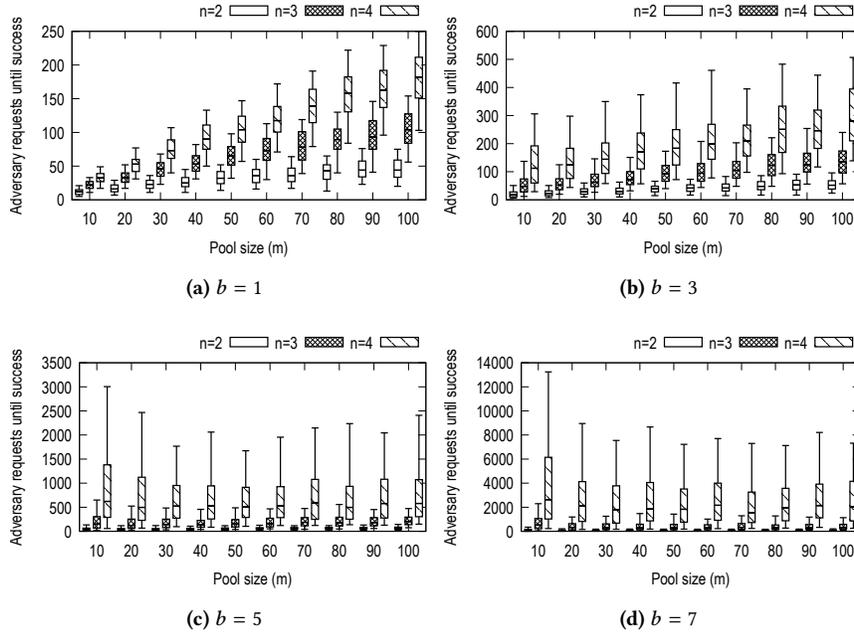


Figure 2: Distribution of the number of adversary requests until success

with rejuvenated versions. Then, the number  $X_c$  of compromised replicas cleansed after serving an adversary query after which there are  $c$  compromised replicas in total (and before serving the next adversary query) is hypergeometrically distributed, i.e.,  $X_c \sim \text{hypergeometric}(c, nm, b)$ . Using a well-known tail-bound for the hypergeometric distribution [17],

$$\mathbb{P}(X_c = 0) \leq e^{-2b(\frac{c}{nm})^2}$$

So, if the adversary has compromised a fraction  $\gamma$  of the replicas (i.e.,  $\frac{c}{nm} = \gamma < 1$ ), then at least one compromised replica is cleansed with probability  $\mathbb{P}(X_c \geq 1) \geq 1 - e^{-2\gamma^2 b}$ , while  $\mathbb{P}(\text{Success}) \leq \gamma^n$ .

We argue that  $c$  and therefore  $\gamma$  will tend to stay small, with high probability. Specifically, cleansing at least one compromised replica between adversary queries, with high probability when  $\gamma$  is somewhat large, enables us to leverage known results in *balanced allocations* to reach this conclusion. In particular, Azar et al. [9] considered an experiment in which a set of  $d$  bins is chosen from among a total of  $n$  bins uniformly at random, and then a ball is placed into the least-full bin from among these  $d$ . After each such ball placement, a ball is chosen uniformly from among all balls in the bins and removed, and then the entire experiment (selection of  $d$  bins, placement of a ball in the least full bin, and then removal of a random ball) is repeated infinitely many times. Azar et al. showed that in the stable distribution, the most-full bin contains  $\frac{\ln \ln n}{\ln d} + O(1)$  balls with high probability [9, Theorem 1.2]. In our case, each variant is analogous to a bin; each replica compromise is analogous to a ball; the adversary is allowed to compromise any not-already-compromised replica in its serving set of size  $n$  (i.e.,  $d = n$ ); and each ball removal is analogous to a rejuvenation. The most important difference between the problem considered by Azar et al. and ours is that for Azar et al., the removal of a

random ball would, in our terminology, correspond to the certain rejuvenation of a *compromised* replica, i.e.,  $\mathbb{P}(X_c = 1) = 1$ . In our case,  $\mathbb{P}(X_c \geq 1) < 1$ , but since  $\mathbb{P}(X_c \geq 1)$  grows quickly with  $\gamma$ , selecting an even modest  $b$  is enough to ensure that  $\gamma$  tends to stay small. As such, and because  $\frac{\ln \ln n}{\ln d} = O(1)$  when  $d = n$ , we can expect that  $c_i = O(1)$  with high probability.

## 5.2 Simulation Analysis

To more concretely illustrate the number  $b$  of rejuvenations needed in various scenarios, we conducted a number of simulations. In these simulations, the adversary is presented a series of requests to a (simulated) service, compromising the replica in each request's serving set from the pool with the fewest compromised replicas (and that was not already compromised). The adversary did this until it obtained a serving set of entirely compromised replicas. Figure 2 shows the distribution of the number of *adversary* queries until adversary success, where each boxplot shows the first, second (median), and third quartiles, and whiskers extend to the 5<sup>th</sup> and 95<sup>th</sup> percentiles. Each boxplot was computed from 200 trials.

Figure 2 indicates that  $n$  and  $b$  both have a substantial impact on security, as also predicted above analytically, whereas the effect of  $m$  is less pronounced. The effect of increasing  $b$  can be observed by noting the growth in the y-axis as  $b$  is increased from  $b = 1$  in Figure 2a through  $b = 7$  in Figure 2d. As  $b$  is increased to a larger fraction of  $m$ , the security improvement implied by increasing  $m$  is muted; e.g., contrast the slope of the median points for a given  $n$  when  $b = 1$  in Figure 2a and those when  $b = 7$  in Figure 2d.

The main lesson from these simulations is that to maximize security, it is most important to employ as many variants as possible (i.e., increase  $n$ ) and to limit the fraction of requests that can be adversarial (thereby increasing  $b$ ). The latter might occur naturally,

owing to a substantial legitimate load on the service, or it might need to be imposed artificially, e.g., via rate-limiting techniques akin to those used for defending against DoS attacks.

## 6 PERFORMANCE EVALUATION

In this section, we describe the prototype implementation, experimental setup, and conduct a performance evaluation.

### 6.1 Prototype Implementation

Our prototype implements each component described in Section 4. The scheduling proxy and verification proxy were both implemented in nearly 2,000 lines of Python code combined. The query matching module was written in just under 200 lines of code, in addition to using a PostgreSQL engine in C to parse the query. Finally, the Linux host agent was implemented in 600 lines of Python code; the Windows host agent driver in 2,800 lines of C code; the DLL in 330 lines of C code; and the IIS module in 40 lines of C# code. The proxies and Linux hosts were implemented on Ubuntu Server 16.04 (Xenial) cloud images, and the Windows hosts were implemented on Windows Server 2012 running IIS 8.0.

Our prototype simulates refreshing using a shim in the scheduling proxy, which makes servers appear offline for 1 second after the refresh invocation. We simulated refreshing because the naïve approach of reverting to an image snapshot in our OpenStack testbed took over 20 seconds. Amazon Firecracker [20] is a virtualization technology built on KVM allowing the deployment of light-weight “microVMs”. These microVMs provide the same isolation of traditional VMs but have the performance of containers (i.e., launching a microVM in 125 ms). However, Firecracker was not available at the time of implementation and its integration is left for future work. We believe our simulated refresh is more than conservative.

### 6.2 Environment

We hosted our test environment in CloudLab [2] using an OpenStack Queens bare metal deployment with nine c6320 compute nodes (28 cores 2.00 GHz, 256 GB RAM, 10 Gb NIC) from the Clemson cluster. One compute node was dedicated for each of: database server, scheduling proxy server, verification proxy server, each variant pool, and clients generating the traffic.

The scheduling VM, verification proxy VM, and client VM were each assigned 56 VCPUs and 64 GB RAM. Three baseline servers were assigned 50 VCPUS, 40 VCPUS, and 30 VCPUS each with 64 GB RAM. In addition, each of the 100 variant web server VMs were assigned 2 cores and 4 GB RAM. The number of VCPUs for each baseline server was chosen to reflect the number of total VCPUs in a single variant pool for  $m = 15, 20, 25$ . The total number of VCPUs over all variant pools is a resource cost associated with the desired  $n$  value and not considered when comparing to the baseline performance. Our proxies require additional resources, but we did not consider finding an optimal number of cores for each proxy that balances resource overhead with performance.

As previously discussed, we created two prototype host agents, one for an Apache Server running on Ubuntu Server 16.04 and another for IIS 8.0 web server running on Windows Server 2012. However, for ease of scaling our evaluation to four variants, our evaluation uses only the Apache/Ubuntu environment to simulate

four distinct variant environments. The Apache/Ubuntu host agent had better supported tools for configuring the hosts and was easier to scale due to bugs in the Windows implementation causing instability over long tests. Each server hosted Mediawiki version 1.18.6, an older version that is compatible with a Wikipedia database dump from October 2007 [37]. Each server was configured in the following ways: Mediawiki was configured to use a PostgreSQL backend; PHP was configured to disable persistent database connections; the web servers were configured to use CGI, disable all caching, and disable HTTP Keep Alive; and finally the Mediawiki application was configured and modified to disable all caching in the variant servers. Unless otherwise stated above or in the experiment descriptions below, all web server performance settings remained at their default settings.

### 6.3 Performance Impact of $n$ , $m$ , and $k$

The security evaluation in Section 5 found that  $n$  and  $b$  have substantial impacts on security. Since  $b$  is correlated to  $k$ , which determines how many servers are refreshed after each HTTP request, increasing  $b$  to increase security also increases the number of refreshes after each HTTP request. Increased refresh rates cause performance to suffer, since fewer servers will be available to service incoming requests. This experiment explores how throughput and latency are impacted as  $k$  varies for different  $n$ ,  $m$  configurations.

*6.3.1 Experimental Setup.* Apache JMeter [1] was configured to request a single web page from the Wikipedia snapshot with 35 concurrent connections for 180 seconds. Since our prototype incurs overhead on correlating and comparing queries at the verification proxy, pages that require more queries to load result in larger overheads. For this test, the web page was chosen so the number of queries between the server and the database needed to generate the web page results in the mean number of queries, among all hosted pages. This was determined by requesting every page hosted by the application and recording the number of queries from the web server to the database needed to generate the page. As a result the *Protected\_area* page was chosen for this experiment and each JMeter worker was configured to request this page.

Using the above JMeter configuration, for each chosen  $n$  and  $m$  configuration we ran a test increasing  $k$  normalized by  $n$  (e.g.,  $\frac{k}{n}$ ) from 0 to 1.0 in 0.25 increments. The JMeter test also ran for 30 VCPU, 40 VCPU, and 50 VCPU baseline servers with caching enabled and caching disabled. For each test, we recorded the number of successful (HTTP 200) responses per second handled by the web environment and each response’s latency.

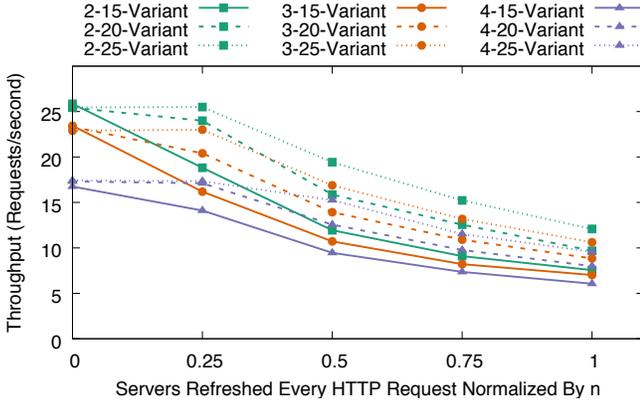
Our analysis only considers the HTTP 200 responses (e.g., goodput). This is due to configurations with higher unavailability servicing magnitudes more total requests during the experiment and a fraction of those requests receive HTTP 200 responses. If the analysis considered the HTTP 503 responses, configurations that produce more HTTP 503 responses would falsely indicate higher throughput and lower latency. Therefore, we consider our analysis to be conservative and justly represent the overhead.

*6.3.2 Throughput Results.* Our first analysis considers the throughput of the baseline servers and various  $n$ ,  $m$ , and  $k$  configurations.

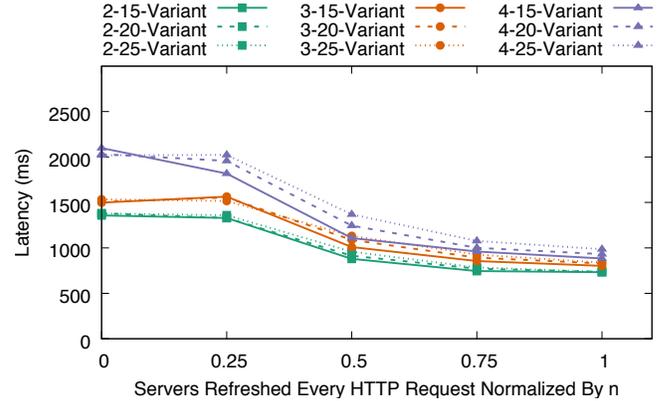
**Table 1: Throughput and Latency comparison of  $n$ -25-Variant environments to the 30 VCPU baselines.**

Environment*	Throughput		Latency	
	Caching Enabled Baseline	Caching Disabled Baseline	Caching Enabled Baseline	Caching Disabled Baseline
2-25-Variant, $\frac{k}{n} = 0$	-55.95%	-54.62%	307.86%	163.69%
3-25-Variant, $\frac{k}{n} = 0$	-60.39%	-59.20%	353.64%	193.29%
4-25-Variant, $\frac{k}{n} = 0$	-69.86%	-68.95%	497.01%	285.98%

\*Note the  $n$ - $m$ -Variant System environments were configured to disable caching. The caching enabled and disabled refers to the baseline server configuration.



**Figure 3: Average throughput serving the *Protected\_area* page as  $k$  is varied in each environment.**



**Figure 4: Average latency serving the *Protected\_area* page as  $k$  is varied in each environment.**

We observed the throughput for each of the caching and non-caching baseline environments was barely impacted by the 30, 40, and 50 VCPU configurations. To conservatively report our overhead, we only compare our environments to the 30 VCPU caching and non-caching baseline, which observed 57.794 and 56.111 requests per second respectively. We note the result of adding VCPUs to the baseline servers and observing no impact on the throughput is potentially a result of hosting dynamic web pages that require many SQL queries over the network to a remote database.

Table 1 shows the impact of our  $n$ - $m$ -Variant System prototype without refreshing ( $\frac{k}{n} = 0$ ). For brevity, we will only discuss the overhead with respect to the cached baseline as there is not a substantial difference in throughput between the two baseline environments. For the  $m = 25$  environments, we observed a 56% reduction (-32.33 RPS) in throughput for the 2-25 environment and up to a 70% reduction (-40.37 RPS) in throughput for the 4-25 environment. Figure 3 depicts the impact of increasing  $k$ , which reveals the following three trends:

**Increasing  $n$  reduces throughput:** As  $n$  is increased, throughput decreases, including a 5 request-per-second drop from  $n = 3$  to  $n = 4$ . This is due to two reasons. First, with more variants, the verification proxy needs to queue and correlate connections from more servers in a serving set. Second, the overhead of parsing and comparing queries at the verification proxy linearly grows with  $n$ . Note this overhead is negligible on a single query, however since pages require many queries to generate content, the overhead compounds and results in noticeable performance degradation.

**Increasing  $k$  reduces throughput:** For each  $n$ - $m$  configuration, lower  $k$  values result in a higher number of successful requests per second. As  $k$  is increased and replicas go offline more frequently,

fewer requests can be handled. Once  $\frac{k}{n} \geq 1$  the performance converges for each environment. In fact, when  $\frac{k}{n} = 1$  we have a situation where each server in a serving set is refreshed after it is used to service a request, and thus it is meaningless to have  $k > n$ . This scenario behaves similar to a system where a serving set is spawned on demand to service every web request. Notably for  $\frac{k}{n} = 0.5$  we observe a minimum throughput reduction of 65% for  $n = 2, m = 25$  and a maximum throughput reduction of 83% for  $n = 4, m = 15$ . Of further interest is for  $\frac{k}{n} = .25$  we observe no drop in throughput for large enough values of  $m$ , i.e.,  $m = 25$ .

**Increasing  $m$  allows higher throughput for higher  $k$ :** Finally, increasing  $m$  allows an environment to provide a higher throughput for a given  $n$  while increasing  $k$ . This is due to the larger number of possible serving sets that are available to service incoming requests.

**6.3.3 Latency Results.** Our second analysis considers the latency of the baselines and various  $n, m$ , and  $k$  configurations. Similar to the comparison to the baseline in the throughput analysis, we observed minimal differences in latency for the different baseline VCPU configurations and compare the  $n$ - $m$ -Variant System environments to the caching and non-caching 30 VCPU baselines which observed a 338.19 ms and 523.09 ms latency respectively.

Table 1 shows the overhead of our  $n$ - $m$ -Variant System prototype without refreshing ( $\frac{k}{n} = 0$ ) comparing against caching enabled and disabled baselines. For the  $m = 25$  environments and caching enabled, we observed a 308% increase (1041 ms) in latency for  $n = 2$  and up to a 497% increase (1681 ms) for  $n = 4$ . However, a fairer comparison is to the caching disabled baseline, since each variant disabled caching. Comparing to this baseline we see 164% increase (856 ms) in latency for  $n = 2$  and up to a 286% (1496 ms) increase

**Table 2: Equations of trend lines.**

	$n = 2$	$n = 3$	$n = 4$
Equation	$y = 14.235e^{0.2028b}$	$y = 25.46e^{0.3446b}$	$y = 41.537e^{0.5057b}$
$R^2$	0.9923	0.9903	0.9797

**Table 3: Size of windows, expressed in time, for  $\alpha = 10\%$ .**

$n$	$\frac{k}{n} = .25$	$\frac{k}{n} = .5$	$\frac{k}{n} = .75$
2	39 sec.	108 sec.	298 sec.
3	337 sec.	74 min.	16.4 hours
4	108 min.	11.8 days	5.1 years

for  $n = 4$ . Figure 4 depicts the impact of increasing  $k$ , which reveals the following two trends:

**Increasing  $n$  increases latency:** Similar to the throughput results, we see  $n$  has an impact on latency, with a 500 ms jump occurring from  $n = 3$  to  $n = 4$ . This is caused by negligible query processing overhead compounding at the verification proxy as  $n$  is increased. However, unlike in the throughput results, we do not observe a major impact on latency by increasing  $m$  for any given  $n$ , but smaller  $m$ , which result in lower throughput, provide incremental latency improvements. Notably, for  $\frac{k}{n} = 0.5$  we observe a 83% (435 ms) increase in latency for the  $n = 2, m = 25$  environment and a 111% (584 ms) increase in latency for the  $n = 4, m = 15$  environment.

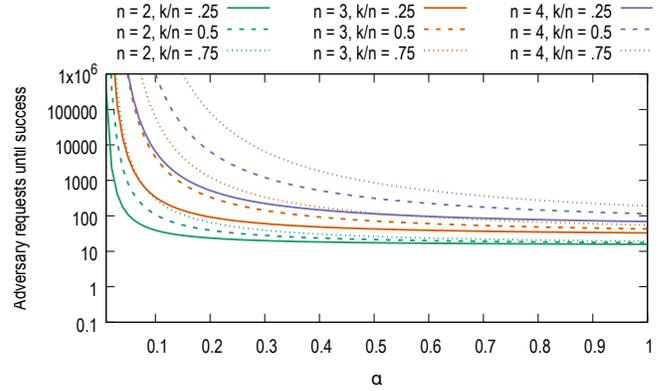
**Increasing  $k$  decreases latency:** As  $k$  is increased and throughput is decreased (as discussed in 6.3.2), we observed a decrease of latency in each environment. For example, for  $m = 25$  environments when  $\frac{k}{n} = 1$ , the overhead compared to the baseline is much lower than compared to  $\frac{k}{n} = 0$ . When compared to the baseline with caching enabled, we observed a 118% increase (398 ms) in latency for the  $n = 2$  environment and up to a 191% (647 ms) increase in latency for the  $n = 4$  environment. Further, when compared to the baselines with caching disabled, we observed a 41% increase (213 ms) in latency for  $n = 2$  and up to a 88% increase (462 ms) for  $n = 4$ .

These trends can be explained by the number of concurrent requests handled by the environment. For example, when  $k$  is low and the throughput is high, the proxies are contending for resources to process all the independent requests. However, as we increase  $k$  and decrease the throughput, the verification proxy is able to dedicate more resources to quickly comparing the queries, which results in quicker responses to clients.

## 6.4 Tuning Security and Cost

$n$ - $m$ -Variant Systems assume a powerful adversary with exploits for all  $n$  variants and can perform those exploits with a single request (e.g., ASLR may prevent the latter). We also assume the adversary is fully aware of the  $n$ - $m$ -Variant System defense mechanism and can strategically form an optimal attack plan (Section 5). In this section, we describe how  $n$ ,  $m$ , and  $k$  impact the period of resistance and monetary cost of additional VCPUs.

Note that practical cost prevents  $n$ - $m$ -Variant Systems from providing resistance to this powerful adversary in perpetuity. Rather,  $n$ - $m$ -Variant System provides an invaluable delay that allows offline IDS (manually confirmed or otherwise) to catch up. Furthermore, less powerful adversaries are even less likely to succeed.



**Figure 5: Number of requests until adversary success.**

**6.4.1 Period of Resistance.** To bridge the theoretical analysis in Section 5 and the performance analysis earlier in Section 6, we selected several configurations for comparison. Specifically, we consider the three  $m = 25$  environments as they provided the best throughput for their respective  $n$  values. Using  $n = 2, 3, 4$  and  $m = 25$ , we ran simulations from Section 5 for  $b = 1 \dots 15$ . We then did a statistical analysis of the median requests-until-compromise for each  $m = 25$  configuration, varying  $b$  to fit a line to the curve.

Table 2 shows the resultant equations of the trend lines fit to each  $m = 25$  configuration. All trends reported a  $R^2$  value over 0.979. Using these equations, we then plot the number of requests until adversary success, with respect to the percent of malicious requests ( $\alpha$ ), for each  $m = 25$  configuration and  $\frac{k}{n} = 0.25, 0.5, 0.75$ . Note the equations in Table 2 are in terms of  $b$ , the number of servers refreshed between adversary requests, and so we use the equality  $b = \frac{k}{\alpha}$  to appropriately generate this graph.

Figure 5 shows the number of expected adversarial requests until success for each  $m = 25$  configuration and  $\frac{k}{n} = .25, .5$ , and  $.75$ . To reiterate our takeaways from Section 5: (1)  $n$  and  $b$  ( $\frac{k}{\alpha}$ ) have the largest impact on the security (2)  $m$  has a smaller impact on the system's security guarantees (3) if  $k < n$ , the adversary will eventually succeed. However, different configurations provide larger periods of resistance where an adversary will not succeed. Next we will walk through a simple example to illustrate this point.

Consider a request rate of 10 requests per second and  $\alpha = 0.1$  (e.g., adversary request rate of 1 request per second). We assume both benign and malicious traffic rates are constant to simplify the example. Using these values we now define a period of resistance, in seconds, by using the y-axis of Figure 5, since the adversary sends 1 request every second and the y-axis represents the median number of requests until success. Results are summarized in Table 3.

First consider the  $n = 2$ . For  $\frac{k}{n} = .25$  the adversary is expected to succeed in under 40 seconds; for  $\frac{k}{n} = .5$  this time is increased to 108 seconds; and finally for  $\frac{k}{n} = .75$  it is increased to just under 5 minutes. Now consider  $n = 3$ : for  $\frac{k}{n} = .25$  the adversary expects to succeed in just over 5 minutes; for  $\frac{k}{n} = .5$ , it succeeds in about 75 minutes; and finally for  $\frac{k}{n} = .75$  the adversary takes almost 16.5 hours to succeed. Finally, for  $n = 4$  this trend continues. For  $\frac{k}{n} = .25$

**Table 4: Cost of  $n$ - $m$ -Variant System environments compared to the 30 VCPU non-caching baseline (Section 6.3.2).**

$n$	$m$	VCPU Increase	Throughput (RPS)	Throughput/VCPU	Resistance*
-	-	-	56.11	1.87	0
2	15	100%	11.94	.199	108 sec.
2	20	166.67%	15.87	.198	108 sec.
2	25	233.33%	19.43	.194	108 sec.
3	15	200%	10.71	.119	74 min.
3	20	300%	13.93	.116	74 min.
3	25	400%	16.88	.112	74 min.
4	15	300%	9.46	.079	11.8 days
4	20	433.33%	12.56	.079	11.8 days
4	25	566.67%	15.26	.076	11.8 days

\* Median time for  $\frac{k}{n} = 0.5$ ,  $\alpha = 0.1$ .

the adversary expects to succeed in 108 minutes, followed by just under 12 days for  $\frac{k}{n} = .5$ , and finally for  $\frac{k}{n} = .75$  the adversary is expected to attack without success for over 5 years.

Through this trivial illustration, it is clear to see how much of a security impact using high  $n$  and  $k$  has on the environment; however, this also has the highest impact on performance. Thus to complete this analysis and allow system administrators to determine which parameters work best for their environment, we need to perform a cost analysis of  $n$ - $m$ -Variant Systems.

**6.4.2 Resource Cost.** The security of different environments comes with a resource cost. For each of the  $n$  and  $m$  configurations we analyze the increase of VCPUs from the baseline and calculate a value of Throughput per VCPU ( $\tau$ ), which visualizes the cost of resource duplication as a return on investment (ROI). The increase of VCPUs in each environment trivially allows an administrator to calculate the monetary cost overhead of implementing each configuration by using current cloud computing costs.

Table 4 summarizes the results of this analysis for each of the  $n$ ,  $m$ , and  $\frac{k}{n} = 0.5$  configurations from Section 6.3.2. Note the first row represents the 30 VCPU non-caching baseline and we included the resistance of each environment using Table 3. As expected, the greater the  $n$  value the less contribution any VCPU has on the overall system throughput. However, this results in a much greater contribution to the period of resistance. Conversely, by increasing  $m$ , the throughput of a given  $n$ - $m$ -Variant environment can be regained with a small decrease in the ROI.

## 7 DISCUSSION

**Denial-of-Service:** Any solution reducing throughput makes denial-of-service attacks easier. This is true in the case of  $n$ - $m$ -Variant Systems, which return a 503 Service Unavailable response if there are no open serving sets. Although we enhance the availability of prior BFT and MVEE works, DoS is still a threat. Note, traditional DoS mitigation can complement  $n$ - $m$ -Variant Systems by alleviating the impact of attacks. However,  $n$ - $m$ -Variant Systems still provide value to servers with lower request rates, such as an internal server opposed to a popular publicly accessible web server. In the scenario of an internal server, the adversary is assumed to have compromised a machine on the network and makes unprivileged requests to the server from local network. DoS attacks launched from the local network may be easier for admins to identify and stop.

**Application Determinism:** Our system cannot handle applications with specific types of non-determinism, which differ from non-deterministic database fields already discussed. For example, the “Random Page” link in Mediawiki loads a random page from the server. As the individual replicas select a random page, the queries will diverge on accesses to different pages. Such non-deterministic features are currently not supported by  $n$ - $m$ -Variant Systems. Note prior MVEE works (e.g., Orchestra [33]) also encounter this issue, but solved it by intercepting system calls that have non-deterministic output (e.g., `getrandom`), then make the call once and copy the result to each variant. However, these prior works had the advantage of residing on a single host, as such we leave the design and integration of such a mechanism for future work.

**Period of Security Resistance:**  $n$ - $m$ -Variant Systems allows an administrator to balance security, resource allocation, and performance. If the administrator assumes the most powerful adversary with exploits for all  $n$  variants, Section 6.4 demonstrated practical configurations that provide a period of resistance ranging from seconds, to minutes, to days. With this strong threat model, even a short period of resistance can provide invaluable defense. For example, it can provide time for an offline intrusion-detection system (IDS) to determine that an attempt to compromise replicas is underway, before those compromises can result in a corruption of the persistent storage. Furthermore, since statistical IDS can raise false alarms [8], the period can also give time for human investigation.

## 8 CONCLUSION

This work introduced  $n$ - $m$ -Variant Systems, an adversarial-resistant software rejuvenation framework for cloud-based web applications. We improved state-of-the-art intrusion-tolerant frameworks with the introduction of the variable  $m$ , which increases the availability of these systems and allows administrators to tune their environments to balance resource and performance overhead with security guarantees obtained. Through theoretical analysis and a performance evaluation of our prototype, this work demonstrated the practicality of the  $n$ - $m$ -Variant Systems framework.

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